

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Heidi Sisneiga Barroso et al.) Group Art Unit: Unassigned
Application No.: Unassigned) Examiner: Unassigned
Filed: October 2, 2000)
For: PROMOTER AND CONSTRUCTIONS)
FOR EXPRESSION OF)
RECOMBINANT PROTEINS IN)
FILAMENTOUS FUNGI)

#4

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

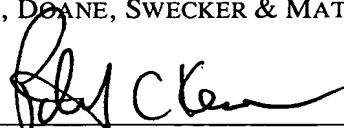
Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$240.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee of \$130.00 (122) as set forth in 37 C.F.R. § 1.17(i) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620
Date: October 2, 2000

By: 
Patrick C. Keane
Registration No. 32,858

Patent
Attorney's Docket No. 031309-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Heidi Sisneiga Barroso et al.)	Group Art Unit: Unassigned
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Application No.: Unassigned)	Examiner: Unassigned
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited was submitted in Application No. PCT/EP99/02243 upon which is based a claim for priority under 35 U.S.C. § 120.

Foreign Patent Documents

International Publication No. WO 98 39459A, published September 1998;
European Application No. 0 684 312A, published December 1995;
International Publication No. WO 96 36718A, published November 1996; and
European Application No 9 249 350A, published December 1987.

Other Documents

Moralejo et al., *Appln. Environ. Microbiol.* 66(3): 1168-74 (1999);
Cardoza et al., *Current Genetics* 34(1): 50-9 (1998);
Hawkins, *Mol. Gen. Genet.* 218: 105-11 (1989);
Kinnaird et al., *Gene* 26: 253-60 (1983);
Gouka et al., *Appl. Microbiol. Biotechnol.* 47(1): 1-11 (1997); and
Gouka et al., *Appl. Environ. Microbiol.* 1951-7 (1996).

Information Disclosure Statement
Application No. Unassigned
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Page 2

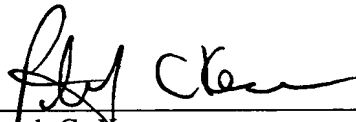
The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: _____


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INFORMATION DISCLOSURE CITATION		ATTORNEY'S DKT NO. 031309-003		APPLICATION NO. Unassigned			
		APPLICANT Heidi Sisneiga Barroso et al.					
		FILING DATE October 5, 2000		GROUP Unassigned			
PTO-1449							
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	98 39459A	9/1998	PCT				
	0 684 312A	11/1995	EP				
	96 36718A	11/1996	PCT				
	0 249 350A	12/1987	EP				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Moralejo et al., <i>Appln. Environ. Microbiol.</i> 66(3): 1168-74 (1999)						
	Cardoza et al., <i>Current Genetics</i> 34(1): 50-9 (1998)						
	Hawkins, <i>Mol. Gen. Genet.</i> 218: 105-11 (1989)						
	Kinnaird et al., <i>Gene</i> 26: 253-60 (1983)						
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	Gouka et al., <i>Appl. Environ. Microbiol.</i> 1951-7 (1996)						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.